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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : <sup>10/807,378</sup>  
~~10/807,738~~ Confirmation No. 3329

Applicant : Noriyuki TAMURA et al.

Filed : March 24, 2004

TC/A.U. : 1745

Examiner : Unknown

Dkt. No. : SNY-053

Cust. No. : 20374

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 19, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each document is attached.

No fee or statement is required in connection with this Information Disclosure Statement, since it is being submitted prior to the last of (1) issuance of a first official action on the merits and (2) expiration of the three month period following filing of the above-captioned application.

Documents FA and FB are not in the English language. However,

the concise explanation of the relevance of the documents required under 37 C.F.R. §1.98 is believed to be satisfied by the submission of English language abstracts thereof.

Please note that document FB is described in the paragraph beginning on page 1, line 23 (paragraph [0003]), of the specification of the present application.

Document DA is in the English language. Therefore, no concise explanation of the relevance of the document is believed to be required under 37 C.F.R. §1.98.

Document DB is not in the English language. However, the document includes an English abstract. The concise explanation of the relevance of the document required under 37 C.F.R. §1.98 is believed to be satisfied by the English language abstract.

The Commissioner is authorized to charge our Deposit Account No. 111833 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

KUBOVCIK & KUBOVCIK



Keiko Tanaka Kubovcik  
Reg. No. 40,428

Atty. Case No. SNY-053  
The Farragut Building

U.S. Patent Appln. S.N. <sup>10/807,378</sup>~~10/807,738~~  
INFORMATION DISCLOSURE STATEMENT

**PATENT**

Suite 710  
900 17th Street, N.W.  
Washington, D.C. 20006  
Tel: (202) 887-9023  
Fax: (202) 887-9093  
KTK/jbf/jaa  
Attachments: PTO-1449  
Four Documents



INFORMATION DISCLOSURE STATEMENT  PTO-1449	Atty. Docket No. SNY-053	Serial No. Not assigned
	Applicant: Noriyuki TAMURA et al.	
	Filing Date: March 24, 2004	Group: Not assigned

## U.S. PATENT DOCUMENTS

Examiner's Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If appropriate
	UA						
	UB						
	UC						
	UD						
	UE						

## FOREIGN PATENT DOCUMENTS

Examiner's Initial		Document No.	Date	Country	Translation Yes/No/Partial
	FA	11-283627 A	10/15/99	JP	Abstract
	FB	01/31720 A1	05/03/01	WO	Abstract
	FC				
	FD				
	FE				
	FF				
	FG				

## OTHER DOCUMENTS

Examiner's Initial		
	DA	Obrovac, M.N. et al., "Structural Changes in Silicon Anodes during Lithium Insertion/Extraction", <i>Electrochemical and Solid-State Letters</i> , 7 (5), 2004, pp. A93-A96.
	DB	Tamori, Ryo et al., "Preparation of Li <sub>4</sub> Si Alloy by Use of Mechanical Milling Methods and Its Properties as Negative Electrodes in Lithium Cells", <i>Journal of the Japan Society of Powder and Powder Metallurgy</i> , Vol. 48, No. 3, 2001, pp.584-590.
	DC	

Examiner:	Date Considered:
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KTK/jbf/jaa